

VERAFIN

**2021 *Virtual***  
**Fraud Detection**  
**& AML Conference**



# CONFERENCE AGENDA

## DAY 1 FRAUD DETECTION & MANAGEMENT

October 18, 2021, 12:00 - 5:00PM EST

Industry Panel: 12:00 - 1:15PM EST

Innovating to Fight Fraud Scams

Industry Panelists:



**Ian Mitchell**  
Global Fraud and Financial Crimes Executive  
Founder, *The Knoble*



**Brad Haacke**  
VP, Financial Crimes Manager,  
*Fifth Third Bank*

1:15 - 1:30PM EST - BREAK

Keynote: 1:30 - 3:30PM EST

Consortium Approach:  
Combating Fraud with Big Data

Customer Speakers:

**Stephanie Zirin**  
*Eastern Bank*

3:30 - 4:00PM EST - BREAK

Peer Perspectives: 4:00 - 5:00PM EST

Fraud Insights

Peer Panelists:

**Dennis Miller**  
*Sandy Spring Bank*

**Jasson Ziminski**  
*Landmark Credit Union*

**Denise Owens**  
*PlainsCapital Bank*

## DAY 2 BSA/AML COMPLIANCE & MANAGEMENT

October 19, 2021, 12:00 - 5:00PM EST

Industry Panel: 12:00 - 1:15PM EST

Global AML Regimes & Collaborative  
Approaches to Fight Crime

Industry Panelists:



**Jim Richards**  
Founder and Principal,  
*RegTech Consulting LLC*



**Nick J. Maxwell**  
Head of the Future of Financial  
Intelligence Sharing (FFIS) research  
programme, *RUSI Centre for Financial  
Crime and Security Studies*

1:15 - 1:30PM EST - BREAK

Keynote: 1:30 - 3:30PM EST

New Approaches for Effective &  
Efficient BSA/AML Programs

Customer Speakers:

**Cassandra Robb**  
*Bangor Savings Bank*

**Rachel Workman**  
*Centennial Bank*

3:30 - 4:00PM EST - BREAK

Peer Perspectives: 4:00 - 5:00PM EST

AML Insights

Peer Panelists:

**Justin Svec**  
*First Interstate Bank*

**Wanda Moncrief**  
*Trustmark National Bank*

**Jeffrey Kawahara**  
*Patelco Credit Union*

## DAY 3 HIGH-RISK CUSTOMER & SANCTIONS SCREENING MANAGEMENT

October 20, 2021, 12:00 - 5:00PM EST

Industry Panel: 12:00 - 1:15PM EST

Navigating the Risk Landscape:  
Higher-Risk Customers & Sanctions

Industry Panelists:



**Stephanie Brooker**  
Partner, *Gibson Dunn*



**Adam M. Smith**  
Partner, *Gibson Dunn*



**Jim Richards**  
Founder and Principal,  
*RegTech Consulting LLC*

1:15 - 1:30PM EST - BREAK

Keynote: 1:30 - 3:30PM EST

Technology to Mitigate Risk:  
Sanctions Screening & High-Risk  
Customer Management Solutions

Customer Speakers:

**Leslie Croaker**  
*First Interstate Bank*

**Orla Furey**  
*Cambridge Savings Bank*

**Jon Stockton**  
*Columbia State Bank*

3:30 - 4:00PM EST - BREAK

Peer Perspectives: 4:00 - 5:00PM EST

High-Risk Customer Management  
& Sanctions Insights

Peer Panelists:

**Amy Auckerman**  
*United Bank*

**Brandon Tate**  
*Columbia Bank*

**Carrie Burns**  
*MIDFLORIDA Credit Union*

# ACCREDITATION

To allow for greater flexibility and customization of your conference experience, each session in the 2021 Virtual Fraud Detection & AML Conference has been individually accredited. This allows you to earn credits by attending the sessions most relevant to your interests.

Attendees are eligible for up to 12 CAMS, 15.75 CAFP, 15.75 CRCM and may be eligible for up to 13.5 Continuing Professional Education (CPE) credits towards industry accreditation programs, such as CFE and CFCS.

## Credits Per Session

Session	CAMS Credits per session	CRCM or CAFP Credits per session	CPE Credit Hours per session
Industry Panel	1	1.5	1.5
Keynote	2	2.5	2
Peer Perspectives	1	1.25	1

Attendees will be provided with a certificate of attendance for each session attended. Attendees are responsible for download and submission of their certificates and reporting of credits to their industry accreditation program.

### Notes:

- *Individuals are responsible for their accreditation requirements, and submission of these materials to their designated accrediting body.*
- *ACAMS does not add credits for non-ACAMS produced events to member profiles. Attendees should provide their certificate of participation for certification or recertification purposes.*
- *American Bankers Association (ABA) Professional Certifications require attendees to self-submit credit to their Certification Dashboard record.*
- *Verafin is not registered with the National Association of State Boards of Accountancy (NASBA) as an official sponsor of continuing professional education, and this event is not directly accredited for CPE credits with any industry accreditation program. However, we provide attendees with personalized certificates of attendance, a detailed agenda and descriptions of sessions, that align with requirements as outlined in ACFE, Community Banker University, and other industry accreditation that accept CPE credit hours for external educational events.*

# GUEST SPEAKERS



## Stephanie Brooker

- Partner, *Gibson Dunn*
  - Former Director, *FinCEN Enforcement Division*
  - Former DOJ Prosecutor and Chief, Asset Forfeiture and Money Laundering Section, *U.S. Attorney's Office for the District of Columbia*
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## Brad Haacke

- VP, Financial Crimes Manager, *Fifth Third Bank*
  - Former Fraud Product Risk Manager, *Fifth Third Bank*
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## Nick J. Maxwell

- Head of the Future of Financial Intelligence Sharing (FFIS) research programme, *RUSI Centre for Financial Crime and Security Studies*
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## Ian Mitchell

- Global Fraud and Financial Crimes Executive
  - Founder, *The Knoble*
  - Financial Crime Advisory Board, *American Bankers Association*
  - Former Principal - Financial Services Fraud Management (FCU), *PwC*
  - Former Director - Enterprise Fraud Management, *Fifth Third Bank*
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## Jim Richards

- Founder and Principal, *RegTech Consulting LLC*
  - Former Executive Vice-President and Director of Financial Crimes Risk Management, *Wells Fargo*
  - Senior Strategic Advisor, *Verafin*
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## Adam M. Smith

- Partner, *Gibson Dunn*
- Former Senior Advisor to the Director, *Office of Foreign Assets Control (OFAC), U.S. Treasury Department*
- Former Director - Multilateral Affairs, *National Security Council*

# VERAFIN SPEAKERS

## VERAFIN PRESENTERS

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**Anna Gosine**  
*Product Expert*



**Geoff Gallant**  
*Product Expert*



**Bill Heys**  
*Product Expert*



**Lisa Brogan**  
*Product Expert*



**Chris O'Keefe**  
*Product Expert*



**Mauriceo Castanheiro**  
*Product Expert*



**Colin Parsons**  
*Product Expert*



**Nick Pearson**  
*Product Expert*



**Corey Lynch**  
*Product Expert*



**Noran Hanafi**  
*Product Expert*



**Dillon Butt**  
*Product Expert*



**Philippa Gosine**  
*Product Expert*



**Fouad Hassouneh**  
*Product Expert*



**Rob Norris**  
*Product Expert*